Steven L. Harrah, CPA
Treasurer-Tax Collector

Christina N. Hernandez
Assistant Treasurer-Tax Collector

November 15, 2016

To: Sutter County Board of Supervisors
Sutter County Pooled Money Investment Board

Re: Sutter County Investment Portfolio

Attached is a copy of Sutter County's Investment Portfolio as of October 31, 2016. This schedule includes all short-term, mid-term and long-term investments held at the conclusion of business on the final day of the month.

As Treasurer-Tax Collector, I certify that this document reflects the government agencies' pooled investments and that all investments are in compliance with the County of Sutter Investment Policy.

The combined funds in the county treasury total $234,611,418 and will provide sufficient cash flow liquidity to meet estimated pooled treasury expenditures for the next six months.

Invested treasury funds total $230,760,285 with $51,294,683 under the management of the Local Agency Investment Fund and California Asset Management Program. The Bank of New York, which provides third-party safekeeping services to Sutter County, furnishes market value data. The dollar-weighted average maturity of invested funds is 1,093 days.

Investments are selected based on criteria contained in the Sutter County Investment Policy, which emphasizes safety, liquidity, yield and diversification. Therefore, the interest rates will fluctuate and the types of investments will vary depending upon county needs and market availability on a particular day.

Respectfully submitted,

Steven L. Harrah, CPA
Treasurer-Tax Collector

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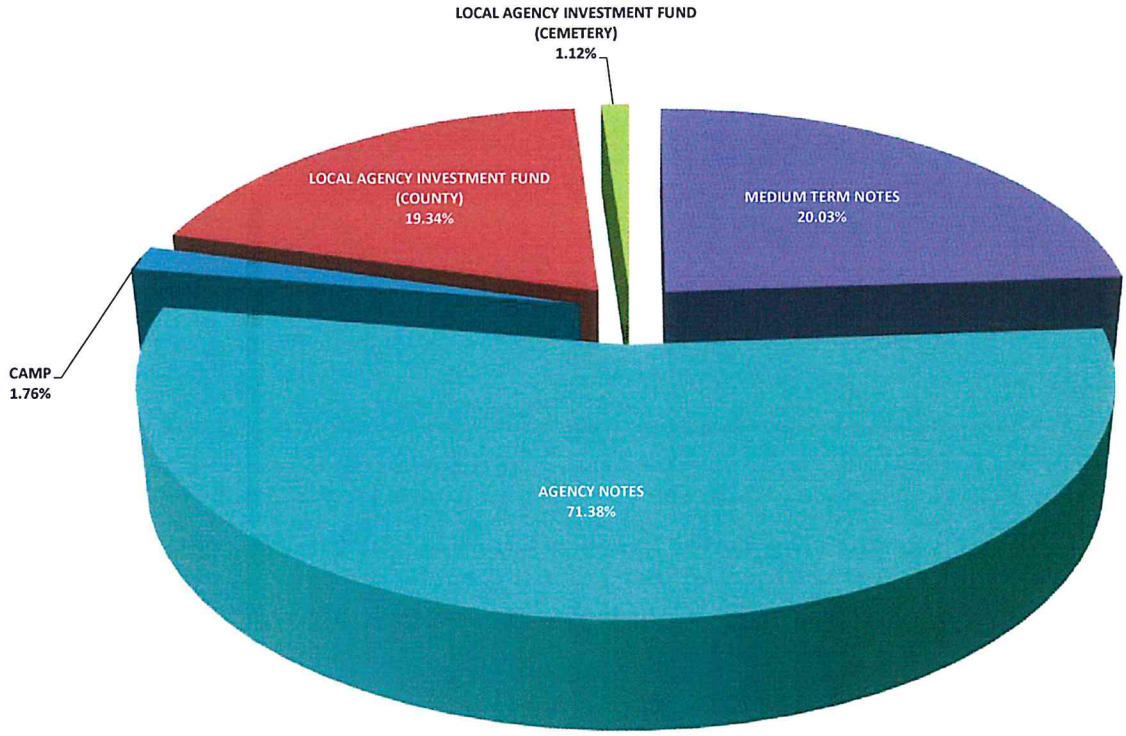
SUTTER COUNTY POOLED TREASURY
INVESTMENT PORTFOLIO
October 31, 2016

TREASURY NUMBER	INSTITUTION/BRANCH	BOOK VALUE	MARKET VALUE	PAR VALUE	DATE INVESTED	DATE MATURES	TOTAL DAYS INVESTED	YIELD	RATE
MANAGED FUNDS									
2016-000	CALIFORNIA ASSET MANAGEMENT	$4,060,497.48	$4,060,497.48	$4,060,497.48	N/A	N/A	N/A	0.6400%	0.6400%
2016-000	LAIF-STATE POOL/SAC	44,638,954.27	44,638,954.27	44,638,954.27	N/A	N/A	N/A	0.6340%	0.6340%
2016-000	LAIF-STATE POOL/SAC (Cemetery)	2,595,231.73	2,595,231.73	2,595,231.73	N/A	N/A	N/A	0.6340%	0.6340%
	TOTAL MANAGED FUNDS	**51,294,683.48**	**51,294,683.48**	**51,294,683.48**					
AGENCY NOTES									
2013-031	FEDERAL HOME LOAN BANK (FHLB)	1,999,847.21	1,998,340.00	2,000,000.00	04/11/13	04/11/18	1,826	1.0100%	1.0000%
2013-054	FEDERAL HOME LOAN BANK (FHLB)	4,000,000.00	3,998,000.00	4,000,000.00	05/16/13	05/16/18	1,826	1.0000%	1.0000%
2015-119	FEDERAL HOME LOAN BANK (FHLB)	2,284,039.36	2,285,737.14	2,285,714.28	10/29/15	10/29/20	1,827	1.0000%	1.0000%
2016-014	FEDERAL FARM CREDIT BANK (FFCB)	4,000,000.00	3,992,000.00	4,000,000.00	02/24/16	02/24/20	1,461	1.4000%	1.4000%
2016-015	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,999,600.00	2,000,000.00	02/23/16	05/23/19	1,185	1.2500%	1.2500%
2016-016	FANNIE MAE (FNMA)	2,000,000.00	2,000,020.00	2,000,000.00	02/26/16	02/26/21	1,827	1.0000%	1.0000%
2016-017	FANNIE MAE (FNMA)	4,000,000.00	4,000,920.00	4,000,000.00	03/15/16	03/15/21	1,826	1.4000%	1.4000%
2016-024	FANNIE MAE (FNMA)	1,999,108.98	2,000,460.00	2,000,000.00	03/15/16	03/15/21	1,826	1.4254%	1.4000%
2016-035	FREDDIE MAC (FHLMC)	2,000,000.00	1,995,960.00	2,000,000.00	03/30/16	03/30/21	1,826	1.2500%	1.2500%
2016-043	FREDDIE MAC (FHLMC)	4,000,000.00	3,991,560.00	4,000,000.00	04/28/16	04/28/21	1,826	1.2500%	1.2500%
2016-046	FEDERAL HOME LOAN BANK (FHLB)	4,000,000.00	3,982,520.00	4,000,000.00	04/26/16	04/26/21	1,826	1.7000%	1.7000%
2016-048	FREDDIE MAC (FHLMC)	4,000,000.00	3,998,280.00	4,000,000.00	04/28/16	04/28/21	1,826	1.2500%	1.2500%
2016-049	FEDERAL FARM CREDIT BANK (FFCB)	4,000,000.00	3,985,240.00	4,000,000.00	04/12/16	04/12/21	1,826	1.6800%	1.6800%
2016-052	FEDERAL HOME LOAN BANK (FHLB)	4,000,000.00	4,000,680.00	4,000,000.00	04/20/16	04/20/21	1,826	1.6250%	1.6250%
2016-054	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,995,300.00	2,000,000.00	04/14/16	04/13/20	1,460	1.4000%	1.4000%
2016-061	FREDDIE MAC (FHLMC)	4,000,000.00	4,000,080.00	4,000,000.00	05/10/16	05/10/21	1,826	1.1250%	1.1250%
2016-064	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	2,001,040.00	2,000,000.00	05/03/16	05/03/21	1,826	1.7000%	1.7000%
2016-065	FREDDIE MAC (FHLMC)	2,000,000.00	2,000,040.00	2,000,000.00	05/10/16	05/10/21	1,826	1.1250%	1.1250%
2016-070	FANNIE MAE (FNMA)	4,000,000.00	3,996,720.00	4,000,000.00	05/25/16	05/25/21	1,826	1.0000%	1.0000%
2016-072	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,993,980.00	2,000,000.00	05/12/16	05/12/21	1,826	1.6400%	1.6400%
2016-075	FANNIE MAE (FNMA)	4,000,000.00	3,996,720.00	4,000,000.00	05/25/16	05/25/21	1,826	1.0000%	1.0000%
2016-077	FREDDIE MAC (FHLMC)	4,000,000.00	3,993,200.00	4,000,000.00	06/09/16	06/09/21	1,826	1.2500%	1.2500%
2016-088	FREDDIE MAC (FHLMC)	1,000,000.00	998,660.00	1,000,000.00	06/30/16	06/30/21	1,826	1.0000%	1.0000%
2016-090	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,994,840.00	2,000,000.00	06/29/16	06/29/20	1,461	1.4200%	1.4200%
2016-092	FANNIE MAE (FNMA)	5,007,596.93	4,970,950.00	5,000,000.00	06/30/16	06/30/21	1,826	1.3029%	1.6250%
2016-094	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,992,060.00	2,000,000.00	07/05/16	07/05/18	730	0.7800%	0.7800%
2016-097	FEDERAL HOME LOAN BANK (FHLB)	2,000,000.00	1,984,380.00	2,000,000.00	07/13/16	10/13/20	1,553	1.3750%	1.3750%
2016-101	FREDDIE MAC (FHLMC)	4,000,000.00	3,984,680.00	4,000,000.00	07/27/16	07/27/21	1,826	1.0000%	1.0000%
2016-102	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,981,700.00	2,000,000.00	07/14/16	07/14/21	1,826	1.4800%	1.4800%
2016-103	FANNIE MAE (FNMA)	4,000,000.00	3,977,760.00	4,000,000.00	07/28/16	07/28/21	1,826	1.0000%	1.0000%
2016-104	FANNIE MAE (FNMA)	4,000,000.00	3,990,720.00	4,000,000.00	07/20/16	07/20/21	1,826	0.8500%	0.8500%
2016-111	FREDDIE MAC (FHLMC)	3,000,000.00	2,995,650.00	3,000,000.00	08/16/16	08/16/21	1,826	1.1250%	1.1250%
2016-115	FEDERAL FARM CREDIT BANK (FFCB)	2,000,000.00	1,979,480.00	2,000,000.00	08/16/16	08/16/21	1,826	1.4400%	1.4400%
2016-116	FREDDIE MAC (FHLMC)	2,000,000.00	1,991,360.00	2,000,000.00	08/30/16	08/27/21	1,823	1.3500%	1.3500%
2016-117	FREDDIE MAC (FHLMC)	4,002,418.88	3,994,200.00	4,000,000.00	08/16/16	08/16/21	1,826	1.0004%	1.1250%
2016-122	FREDDIE MAC (FHLMC)	4,000,000.00	3,984,160.00	4,000,000.00	09/13/16	09/13/21	1,826	1.2500%	1.2500%
2016-125	FREDDIE MAC (FHLMC)	2,000,000.00	1,996,020.00	2,000,000.00	09/29/16	09/29/21	1,826	1.2500%	1.2500%
2016-126	FANNIE MAE (FNMA)	2,000,000.00	1,983,060.00	2,000,000.00	09/29/16	09/29/21	1,826	1.6000%	1.6000%
2016-132	FREDDIE MAC (FHLMC)	2,000,000.00	1,996,020.00	2,000,000.00	09/29/16	09/29/21	1,826	1.2500%	1.2500%
2016-133	FREDDIE MAC (FHLMC)	2,000,000.00	1,982,180.00	2,000,000.00	09/29/16	09/29/21	1,826	1.6500%	1.6500%
2016-134	FANNIE MAE (FNMA)	2,000,000.00	1,989,180.00	2,000,000.00	09/30/16	09/30/21	1,826	1.6250%	1.6250%
2016-140	FREDDIE MAC (FHLMC)	1,000,000.00	996,190.00	1,000,000.00	10/28/16	10/28/21	1,826	1.6500%	1.6500%
2016-142	FANNIE MAE (FNMA)	2,000,000.00	1,995,900.00	2,000,000.00	10/28/16	10/30/19	1,097	1.1250%	1.1250%
2016-148	FREDDIE MAC (FHLMC)	2,000,000.00	1,994,220.00	2,000,000.00	10/21/16	10/28/21	1,833	1.0000%	1.0000%
	TOTAL AGENCY NOTES	**124,293,011.36**	**123,959,767.14**	**124,285,714.28**					

SUTTER COUNTY POOLED TREASURY
INVESTMENT PORTFOLIO
October 31, 2016

TREASURY NUMBER	INSTITUTION/BRANCH	BOOK VALUE	MARKET VALUE	PAR VALUE	DATE INVESTED	DATE MATURES	TOTAL DAYS INVESTED	YIELD	RATE
MEDIUM TERM NOTES									
2012-018	GENERAL ELECTRIC	2,004,663.18	2,007,320.00	2,000,000.00	02/06/12	01/09/17	1,799	2.0100%	2.9000%
2012-147	GENERAL ELECTRIC	2,000,000.00	1,995,880.00	2,000,000.00	12/26/12	12/07/17	1,807	1.1822%	1.1822%
2012-148	JP MORGAN CHASE	2,008,064.50	2,012,400.00	2,000,000.00	12/26/12	08/15/17	1,693	1.5200%	2.0000%
2013-012	JP MORGAN CHASE	1,750,579.01	1,756,422.50	1,750,000.00	01/30/13	01/25/18	1,821	1.7737%	1.8000%
2013-034	GENERAL ELECTRIC	999,340.11	1,000,710.00	1,000,000.00	04/11/13	12/20/16	1,349	1.1771%	1.1771%
2013-050	JP MORGAN CHASE	999,675.79	997,510.00	1,000,000.00	05/15/13	05/15/18	1,826	0.8387%	0.8387%
2013-118	UNION BANK NA	1,011,394.11	1,015,760.00	1,000,000.00	10/29/13	09/26/18	1,793	2.0100%	2.6250%
2013-135	ANHEUSER-BUSCH (ABIBB)	2,002,552.73	2,005,880.00	2,000,000.00	12/03/13	07/15/17	1,320	1.2091%	1.3750%
2014-050	WELLS FARGO CO.	3,999,590.80	4,044,560.00	4,000,000.00	04/22/14	04/22/19	1,826	2.1292%	2.1250%
2014-081	US BANCORP (USB)	2,011,252.61	2,038,700.00	2,000,000.00	07/18/14	04/25/19	1,742	1.9650%	2.2000%
2014-088	WELLS FARGO CO. (WFC)	3,001,799.90	3,033,420.00	3,000,000.00	07/29/14	04/22/19	1,728	2.0999%	2.1250%
2014-115	JP MORGAN CHASE	998,330.01	1,013,860.00	1,000,000.00	10/22/14	10/22/19	1,826	2.5805%	2.2000%
2014-124	JP MORGAN CHASE	2,988,774.06	3,041,580.00	3,000,000.00	11/20/14	10/22/19	1,797	2.3300%	2.2000%
2014-135	ORACLE CORP (ORCL)	2,008,561.53	2,044,560.00	2,000,000.00	12/08/14	10/08/19	1,765	2.0999%	2.2500%
2015-061	WELLS FARGO CO (WFC)	3,000,000.00	3,022,350.00	3,000,000.00	06/01/15	01/30/20	1,704	2.1500%	2.1500%
2015-098	WELLS FARGO & COMPANY	4,000,000.00	4,028,880.00	4,000,000.00	09/25/15	09/25/20	1,827	2.0000%	2.0000%
2015-103	WELLS FARGO & COMPANY	4,047,312.93	4,075,760.00	4,000,000.00	09/23/15	07/22/20	1,764	2.2700%	2.6000%
2015-115	WELLS FARGO COMPANY (WFC)	2,000,000.00	2,001,280.00	2,000,000.00	10/16/15	10/16/20	1,827	1.7500%	1.7500%
2015-132	WELLS FARGO COMPANY (WFC)	2,011,426.65	2,037,880.00	2,000,000.00	11/12/15	07/22/20	1,714	2.4400%	2.6000%
2015-137	GENERAL ELECTRIC	3,319,666.45	3,352,440.00	3,000,000.00	11/16/15	01/08/20	1,514	2.0824%	5.5000%
2015-157	WELLS FARGO & COMPANY	3,004,673.36	3,052,380.00	3,000,000.00	12/28/15	12/07/20	1,806	2.5100%	2.5500%
2016-078	WELLS FARGO BANK & CO. (WFC)	2,000,000.00	1,971,160.00	2,000,000.00	06/07/16	06/07/21	1,826	2.0000%	2.0000%
2016-110	WELLS FARGO CO. (WFC)	2,004,932.24	1,989,680.00	2,000,000.00	07/25/16	07/26/21	1,827	2.0459%	2.1000%
2016-119	HSBC USA, INC	2,000,000.00	1,995,260.00	2,000,000.00	08/19/16	08/19/21	1,826	2.1000%	2.1000%
	TOTAL MEDIUM TERM NOTES	55,172,589.97	55,535,632.50	54,750,000.00					
	TOTAL PORTFOLIO	230,760,284.81	230,790,083.12	230,330,397.76			Average	1.4674%	1.5587%

Sutter County Pooled Investment Portfolio
October 31, 2016



	BOOK VALUE	PERCENTAGE OF MANAGED PORTFOLIO	INVESTED % OF POOLED PORTFOLIO	AVERAGE DAYS TO MATURITY	AVERAGE YIELD
CAMP	$4,060,497.48	1.76%	1.78%	1	0.64%
LOCAL AGENCY INVESTMENT FUND (COUNTY)	44,638,954.27	19.34%	19.56%	1	0.63%
LOCAL AGENCY INVESTMENT FUND (CEMETERY)	2,595,231.73	1.12%	-	1	0.63%
MEDIUM TERM NOTES	55,172,589.97	23.91%	24.18%	972	1.93%
AGENCY NOTES	124,293,011.36	53.86%	54.48%	1,553	1.27%
TOTAL MANAGED INVESTMENTS	**$230,760,284.81**	**100.00%**		1,291	1.47%
LESS: LAIF FUNDS NOT POOLED	2,595,231.73	1.12%			
TOTAL POOLED INVESTMENTS	**$228,165,053.08**	**98.88%**	**100.00%**	1,290	1.48%