

Steven L. Harrah, CPA

Treasurer-Tax Collector



Christina N. Hernandez

Assistant Treasurer-Tax Collector

February 8, 2021

To: Sutter County Board of Supervisors

Re: Sutter County Investment Portfolio Report for January 31, 2021

Following is the Sutter County Investment Portfolio report as of January 31, 2021. The schedule includes all short-term, mid-term and long-term investments held at the conclusion of business on the final day of the month. The Sutter County Treasurer and Tax Collector is given authority over the pooled investment portfolio through Board delegation pursuant to Government Code §27000.1. Investment activities of the pooled treasury are governed by Government Code §53601 as incorporated in the Sutter County Investment Policy. Investment of the county's, school districts' and special district's surplus funds start with the objective of safety of the principle to minimize possibility losses. Following safety is the liquidity objective to provide coverage of day to day operations and to meet contingency as they arise. The final objective is earning a reasonable return or yield on the funds invested. The Sutter County Investment Policy may be found on the Treasurer's webpage at: [https://www.suttercounty.org/assets/pdf/ttc/Investment\\_Policy\\_2021.pdf](https://www.suttercounty.org/assets/pdf/ttc/Investment_Policy_2021.pdf)

As Treasurer and Tax Collector, I certify that this document reflects the government agencies' pooled investments and that all investments are in compliance with the County of Sutter Investment Policy.

The combined cash and investments in the county treasury total \$312,944,060 and will provide sufficient cash flow liquidity to meet estimated pooled treasury expenditures for the next six months.

Invested treasury funds total \$301,865,150 with \$71,979,372 under the management of the Local Agency Investment Fund and California Asset Management Program. The Bank of New York, which provides third-party safekeeping services to Sutter County, provides market value data. The dollar-weighted average maturity of invested funds is 1033 days.

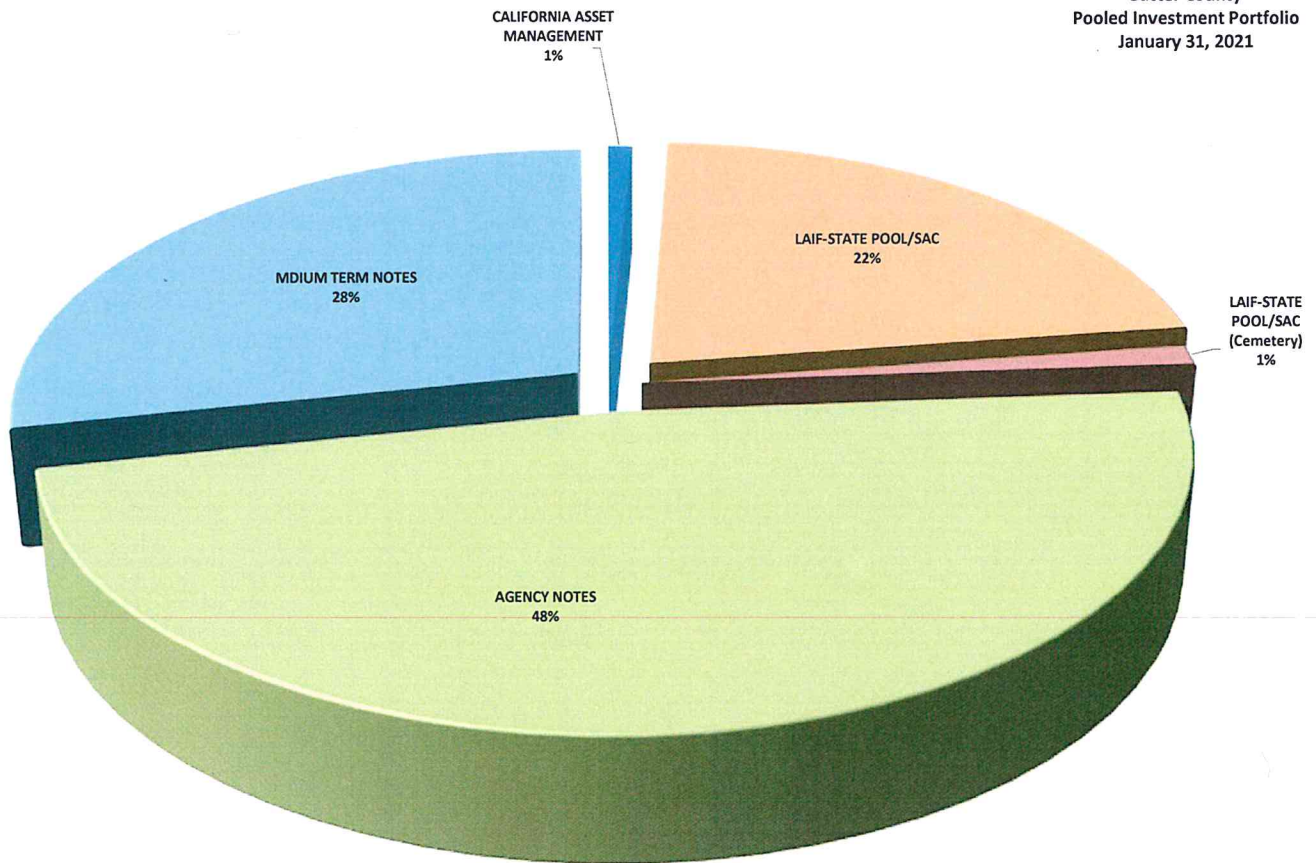
Investments are selected based on criteria contained in the Sutter County Investment Policy, which emphasizes safety, liquidity, yield and diversification. Therefore, the interest rates will fluctuate, and the types of investments will vary depending upon county needs and market availability on a particular day.

Respectfully submitted,

A handwritten signature in blue ink, appearing to read "Steven L. Harrah".

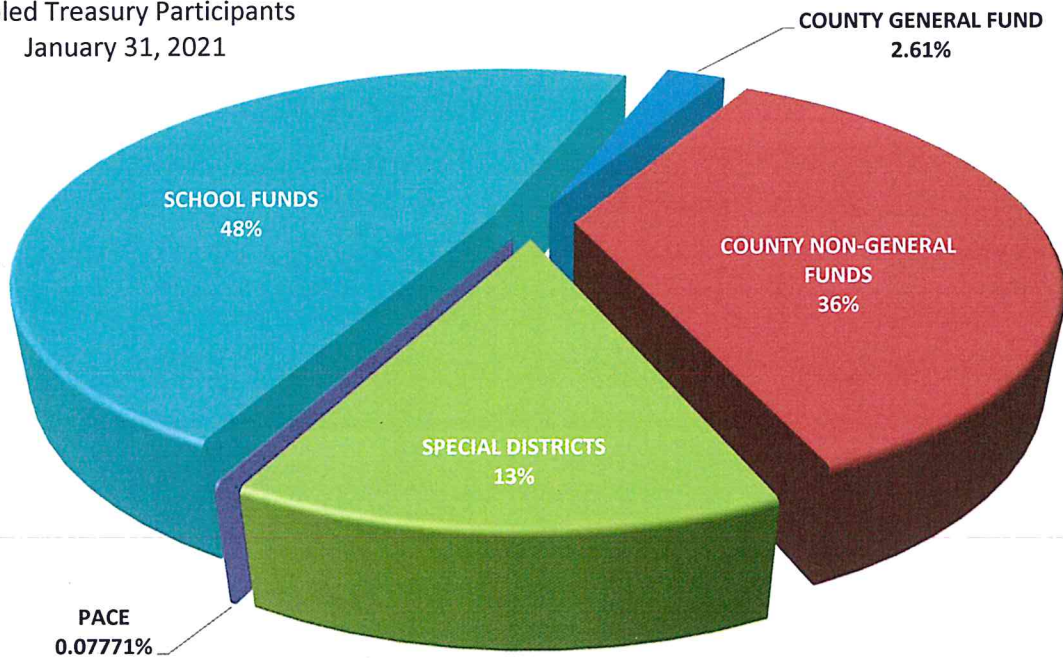
Steven L. Harrah, CPA  
Treasurer and Tax Collector

Sutter County  
Pooled Investment Portfolio  
January 31, 2021



|   | <u>BOOK VALUE</u>              | <u>PERCENTAGE OF MANAGED PORTFOLIO</u> | <u>INVESTED % OF POOLED PORTFOLIO</u> | <u>AVERAGE DAYS TO MATURITY</u> | <u>AVERAGE YIELD</u> |
|---|--------------------------------|--|---------------------------------------|---------------------------------|----------------------|
| CAMP                                    | \$2,406,539.16                 | 0.80%                                  | 0.81%                                 | 1                               | 0.12%                |
| LOCAL AGENCY INVESTMENT FUND (COUNTY)   | 66,202,189.71                  | 22.00%                                 | 22.25%                                | 1                               | 0.54%                |
| LOCAL AGENCY INVESTMENT FUND (CEMETERY) | 3,370,643.45                   | 1.12%                                  | -                                     | 1                               | 0.54%                |
| MEDIUM TERM NOTES                       | 84,763,381.19                  | 28.17%                                 | 28.49%                                | 1,071                           | 1.60%                |
| AGENCY NOTES                            | <u>144,122,396.35</u>          | <u>47.90%</u>                          | <u>48.45%</u>                         | <u>1,447</u>                    | <u>0.68%</u>         |
| <b>TOTAL MANAGED INVESTMENTS</b>        | <b>\$300,865,149.86</b>        | <b>100.00%</b>                         |                                       | <u>1,242</u>                    | <u>1.04%</u>         |
| LESS: LAIF FUNDS NOT POOLED             | <u>3,370,643.45</u>            | <u>1.12%</u>                           |                                       |                                 |                      |
| <b>TOTAL POOLED INVESTMENTS</b>         | <b><u>\$297,494,506.41</u></b> | <b><u>98.88%</u></b>                   | <b><u>100.00%</u></b>                 | <b><u>1,241</u></b>             | <b><u>1.04%</u></b>  |

Sutter County  
Pooled Treasury Participants  
January 31, 2021



The Pooled Treasury is comprised of 345 separate funds representing the County's General Fund, County Non-General funds, special districts, school districts and funds collected and held for the various PACE programs that are authorized by the City of Yuba City.

At the close of business January 31, 2021 pool participants' cash and investment balances consisted of the following:

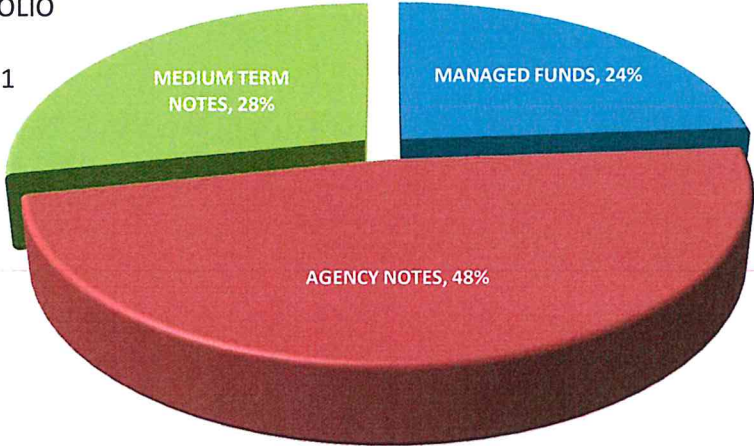
|                          |        |
|--------------------------|--------|
| COUNTY GENERAL FUND      | 2.61%  |
| COUNTY NON-GENERAL FUNDS | 35.65% |
| SPECIAL DISTRICTS        | 13.75% |
| SCHOOL FUNDS             | 48.09% |

The pooled portfolio is comprised of three major classes of assets. At January 31, 2021 agency notes made up 48%, medium term notes represented 28% and funds under management within the Local Area Investment Fund (LAIF) and the California Asset Management Program (CAMP) completing the portfolio at 24%.

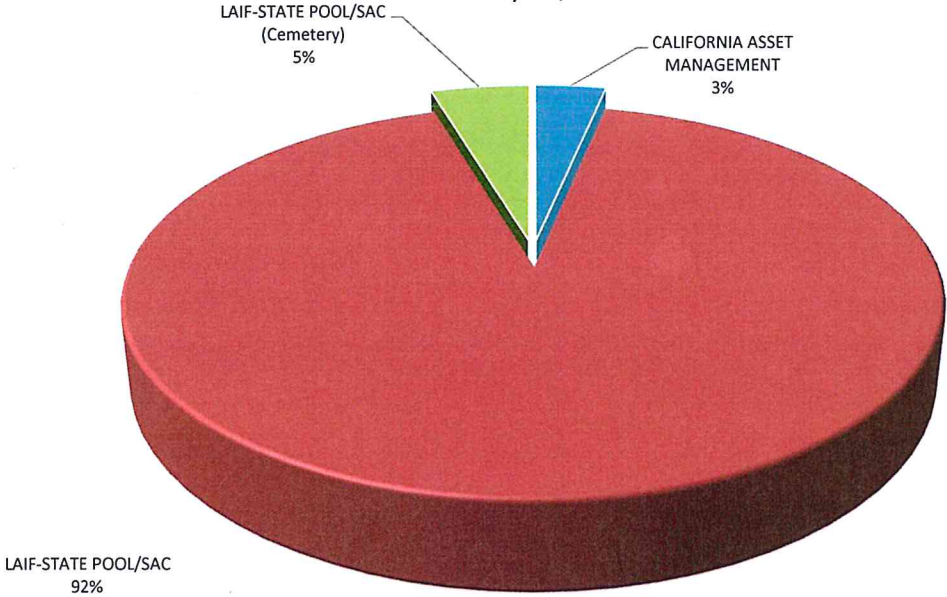
All assets are in compliance with the Sutter County Investment Policy and adhere to the requirements of California Government Code §53601-§53645 and §16429.1-§16429.3, which relate to the investing in the Local Area Investment Fund (LAIF)

Within the three major classes of assets the portfolio is further diversified, again, operating within the constraints of California Government Code and the Sutter County Investment Policy. The following charts provide a quick glance of the make-up of each category.

SUTTER COUNTY  
INVESTMENT PORTFOLIO  
CATAGORIES  
JANUARY 31, 2021



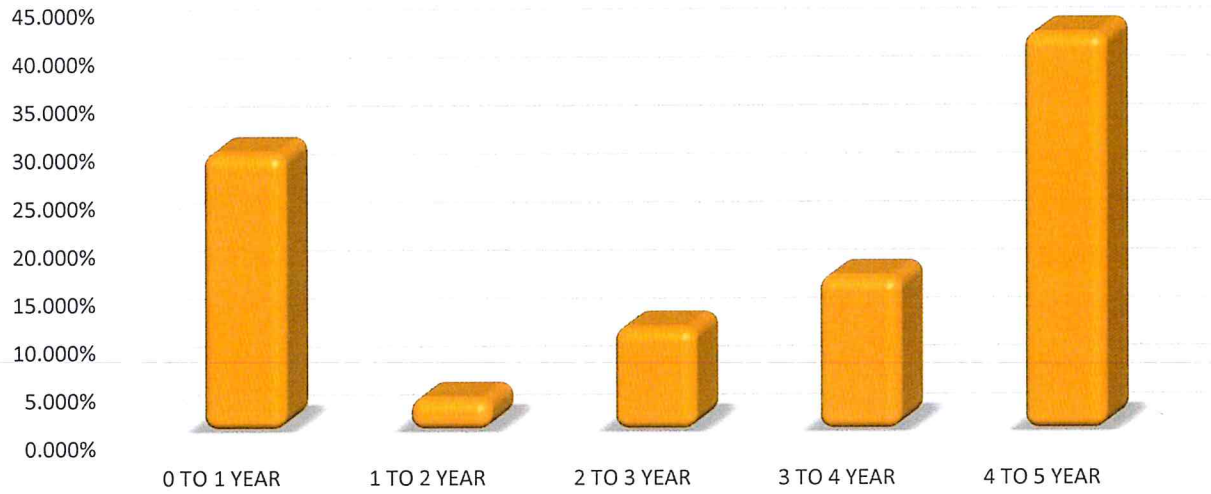
Sutter County  
Managed Funds  
January 31, 2020





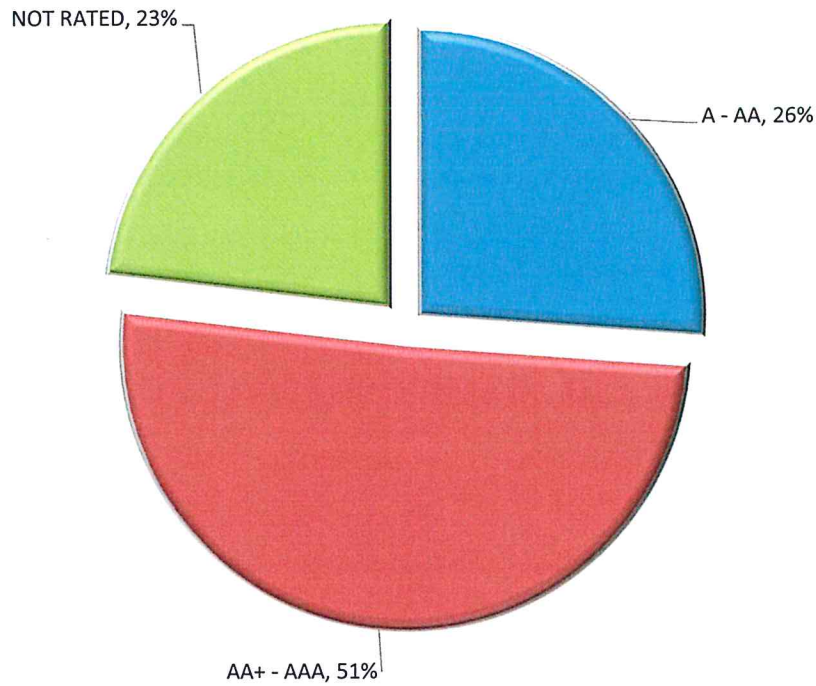
All investments conform to California Government Code §56301 with maturities of no more than five years.

### Sutter County Pooled Portfolio Aging January 31, 2020



Investments in the pool must have a category rating of A or better at the time of purchase, as prescribed in the Sutter County Investment Policy, with the exception of LAIF, which is authorized in GC §16429.1-§16429.3.

### Sutter County Pooled Portfolio Asset Ratings January 31, 2020



**SUTTER COUNTY  
INVESTMENT PORTFOLIO  
January 31, 2021**



| TREASURY              | NUMBER   | INSTITUTION/BRANCH              | CUSIP     | BOOK VALUE           | MARKET VALUE         | PAR VALUE            | DATE     | DATE     | TOTAL | YIELD    | RATE     |
|-----------------------|----------|---------------------------------|-----------|----------------------|----------------------|----------------------|----------|----------|-------|----------|----------|
|                       |          |                                 |           |                      |                      |                      | INVESTED | MATURES  |       |          |          |
| <b>MANAGED FUNDS</b>  |          |                                 |           |                      |                      |                      |          |          |       |          |          |
|                       | 2021-00A | CALIFORNIA ASSET MANAGEMENT     | 0         | 2,406,539.16         | 2,406,539.16         | 2,406,539.16         | N/A      | N/A      | 1     | 0.12000% | 0.12000% |
|                       | 2021-00B | LAIF-STATE POOL/SAC             | 0         | 66,202,189.71        | 66,202,189.71        | 66,202,189.71        | N/A      | N/A      | 1     | 0.54000% | 0.54000% |
|                       | 2021-00C | LAIF-STATE POOL/SAC (Cemetery)  | 0         | 3,370,643.45         | 3,370,643.45         | 3,370,643.45         | N/A      | N/A      | 1     | 0.54000% | 0.54000% |
|                       |          | <b>TOTAL MANAGED FUNDS</b>      |           | <b>71,979,372.32</b> | <b>71,979,372.32</b> | <b>71,979,372.32</b> |          |          |       |          |          |
| <b>AGENCIES NOTES</b> |          |                                 |           |                      |                      |                      |          |          |       |          |          |
| 20190                 |          | FANNIE MAE (FNMA)               | 3136G46N8 | 4,000,000.00         | 3,999,800.00         | 4,000,000.00         | 11/02/20 | 10/29/25 | 1,822 | 0.60003% | 0.60000% |
| 20210                 |          | FANNIE MAE (FNMA)               | 3135GA6J5 | 2,000,000.00         | 2,000,140.00         | 2,000,000.00         | 12/07/20 | 12/07/23 | 1,095 | 0.31998% | 0.32000% |
| 20212                 |          | FANNIE MAE (FNMA)               | 3135G08K4 | 2,000,000.00         | 1,995,580.00         | 2,000,000.00         | 12/17/20 | 12/17/25 | 1,826 | 0.65144% | 0.65000% |
| 20224                 |          | FANNIE MAE (FNMA)               | 3135GAC25 | 4,000,000.00         | 4,000,040.00         | 4,000,000.00         | 12/24/20 | 09/24/24 | 1,370 | 0.31000% | 0.31000% |
| 20228                 |          | FANNIE MAE (FNMA)               | 3135G05X7 | 1,996,317.18         | 1,994,080.00         | 2,000,000.00         | 12/24/20 | 08/25/25 | 1,705 | 0.37611% | 0.37500% |
| 20231                 |          | FANNIE MAE (FNMA)               | 3135G06Q1 | 6,011,993.43         | 6,004,080.00         | 6,000,000.00         | 12/30/20 | 12/30/25 | 1,826 | 0.63957% | 0.64000% |
| 2017-022              |          | FANNIE MAE (FNMA)               | 3136G4MQ3 | 2,000,000.00         | 2,048,720.00         | 2,000,000.00         | 03/29/17 | 03/29/22 | 1,826 | 2.11840% | 2.17000% |
| 2020-103              |          | FANNIE MAE (FNMA)               | 3136G4XE8 | 2,000,000.00         | 2,000,460.00         | 2,000,000.00         | 06/22/20 | 12/22/23 | 1,278 | 0.51988% | 0.52000% |
| 2020-123              |          | FANNIE MAE (FNMA)               | 3136G4ZR7 | 4,000,000.00         | 4,001,880.00         | 4,000,000.00         | 07/21/20 | 07/21/25 | 1,826 | 0.69967% | 0.70000% |
| 2020-134              |          | FANNIE MAE (FNMA)               | 3136G4G31 | 4,000,000.00         | 3,989,560.00         | 4,000,000.00         | 07/30/20 | 07/20/25 | 1,816 | 0.65170% | 0.65000% |
| 2020-136              |          | FANNIE MAE (FNMA)               | 3136G4D75 | 4,000,000.00         | 3,999,800.00         | 4,000,000.00         | 07/30/20 | 07/29/25 | 1,825 | 0.60003% | 0.60000% |
| 2020-137              |          | FANNIE MAE (FNMA)               | 3136G4C43 | 4,000,000.00         | 3,989,480.00         | 4,000,000.00         | 08/14/20 | 08/14/25 | 1,826 | 0.65171% | 0.65000% |
| 2020-140              |          | FANNIE MAE (FNMA)               | 3136G4G98 | 2,000,000.00         | 1,983,540.00         | 2,000,000.00         | 08/12/20 | 08/12/25 | 1,826 | 0.56465% | 0.56000% |
| 2020-149              |          | FANNIE MAE (FNMA)               | 3136G4H71 | 1,999,629.57         | 1,990,220.00         | 2,000,000.00         | 08/18/20 | 08/18/25 | 1,826 | 0.50246% | 0.50000% |
| 2020-150              |          | FANNIE MAE (FNMA)               | 3136G4N74 | 2,000,000.00         | 1,984,820.00         | 2,000,000.00         | 08/21/20 | 08/21/25 | 1,826 | 0.56428% | 0.56000% |
| 2020-155              |          | FANNIE MAE (FNMA)               | 3136G4X32 | 2,000,000.00         | 1,999,900.00         | 2,000,000.00         | 08/26/20 | 08/26/25 | 1,826 | 0.60003% | 0.60000% |
| 2020-180              |          | FANNIE MAE (FNMA)               | 3135G06B4 | 2,000,000.00         | 1,998,640.00         | 2,000,000.00         | 10/22/20 | 10/22/25 | 1,826 | 0.56038% | 0.56000% |
| 2020-185              |          | FANNIE MAE (FNMA)               | 3136G46K4 | 4,000,000.00         | 3,989,000.00         | 4,000,000.00         | 10/28/20 | 07/28/25 | 1,734 | 0.50138% | 0.50000% |
| 20196                 |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMGF3 | 1,998,552.86         | 2,000,020.00         | 2,000,000.00         | 11/16/20 | 05/16/24 | 1,277 | 0.35000% | 0.35000% |
| 20204                 |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMJC7 | 4,000,000.00         | 3,991,840.00         | 4,000,000.00         | 12/01/20 | 12/01/25 | 1,826 | 0.56114% | 0.56000% |
| 20208                 |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMHL9 | 1,999,805.13         | 2,001,240.00         | 1,999,800.00         | 12/03/20 | 11/30/23 | 1,092 | 0.30981% | 0.31000% |
| 20217                 |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMKTR | 4,000,000.00         | 3,996,920.00         | 4,000,000.00         | 12/17/20 | 12/17/25 | 1,826 | 0.54042% | 0.54000% |
| 20227                 |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMLR1 | 4,000,000.00         | 4,000,000.00         | 4,000,000.00         | 12/24/20 | 12/23/25 | 1,825 | 0.50185% | 0.50000% |
| 2016-169/172          |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EG6L0 | 3,997,629.24         | 4,055,040.00         | 4,000,000.00         | 11/29/16 | 11/29/21 | 1,826 | 1.73611% | 1.76000% |
| 2020-045              |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133ELUT9 | 3,125,000.00         | 3,127,968.75         | 3,125,000.00         | 03/26/20 | 03/26/25 | 1,826 | 1.29877% | 1.30000% |
| 2020-047              |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133ELVA9 | 2,000,000.00         | 2,002,360.00         | 2,000,000.00         | 04/01/20 | 04/01/24 | 1,461 | 1.19859% | 1.20000% |
| 2020-108              |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133ELN75 | 4,000,000.00         | 4,000,040.00         | 4,000,000.00         | 06/23/20 | 12/23/21 | 548   | 0.23000% | 0.23000% |
| 2020-125/135          |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133ELX25 | 7,998,136.87         | 7,995,600.00         | 8,000,000.00         | 07/29/20 | 01/22/24 | 1,272 | 0.47026% | 0.47000% |
| 2020-159              |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EL4W1 | 3,996,259.91         | 3,987,120.00         | 4,000,000.00         | 09/04/20 | 08/25/25 | 1,816 | 0.61197% | 0.61000% |
| 2020-169              |          | FEDERAL FARM CREDIT BANK (FFCB) | 3133EMBE1 | 1,999,072.16         | 2,000,020.00         | 2,000,000.00         | 09/30/20 | 03/28/24 | 1,275 | 0.30000% | 0.30000% |
| 20219                 |          | FEDERAL HOME LOAN BANK (FHLB)   | 3130AKJR8 | 4,000,000.00         | 3,985,760.00         | 4,000,000.00         | 12/16/20 | 12/16/25 | 1,826 | 0.57204% | 0.57000% |

**SUTTER COUNTY**  
**INVESTMENT PORTFOLIO**  
**January 31, 2021**



| NUMBER             | INSTITUTION/BRANCH               | CUSIP     | BOOK VALUE     | MARKET VALUE   | PAR VALUE      | INVESTED | DATE     | MATURES  | DATE     | TOTAL | YIELD    | RATE     |
|--------------------|----------------------------------|-----------|----------------|----------------|----------------|----------|----------|----------|----------|-------|----------|----------|
|                    |                                  |           |                |                |                | INVESTED | DATE     | MATURES  | DATE     | DAYS  |          |          |
| 2020-044           | FEDERAL HOME LOAN BANK (FHLB)    | 3130AJFB0 | 1,000,000.00   | 1,000,880.00   | 1,000,000.00   | 03/25/20 | 03/25/24 | 03/25/24 | 03/25/24 | 1,461 | 1.19894% | 1.20000% |
| 2020-110           | FEDERAL HOME LOAN BANK (FHLB)    | 3130AJSF7 | 1,000,000.00   | 996,320.00     | 1,000,000.00   | 07/08/20 | 01/08/25 | 01/08/25 | 01/08/25 | 1,645 | 0.65240% | 0.65000% |
| 20197              | FREDDIE MAC (FHLMC)              | 3134GXCH5 | 4,000,000.00   | 3,992,160.00   | 4,000,000.00   | 11/25/20 | 11/25/25 | 11/25/25 | 11/25/25 | 1,826 | 0.60118% | 0.60000% |
| 20198              | FREDDIE MAC (FHLMC)              | 3134GXCS1 | 4,000,000.00   | 4,008,000.00   | 4,000,000.00   | 11/25/20 | 11/25/25 | 11/25/25 | 11/25/25 | 1,826 | 0.62375% | 0.62500% |
| 20201              | FREDDIE MAC (FHLMC)              | 3134GXDM3 | 4,000,000.00   | 4,008,680.00   | 4,000,000.00   | 12/01/20 | 12/01/25 | 12/01/25 | 12/01/25 | 1,826 | 0.61866% | 0.62500% |
| 20218              | FREDDIE MAC (FHLMC)              | 3134GXJH8 | 4,000,000.00   | 3,997,880.00   | 4,000,000.00   | 12/29/20 | 12/29/23 | 12/29/23 | 12/29/23 | 1,095 | 0.22012% | 0.22000% |
| 20221              | FREDDIE MAC (FHLMC)              | 3134GXJJ4 | 4,000,000.00   | 3,999,640.00   | 4,000,000.00   | 12/28/20 | 06/28/24 | 06/28/24 | 06/28/24 | 1,278 | 0.32003% | 0.32000% |
| 20222              | FREDDIE MAC (FHLMC)              | 3134GXJK1 | 4,000,000.00   | 3,998,920.00   | 4,000,000.00   | 12/30/20 | 09/30/24 | 09/30/24 | 09/30/24 | 1,370 | 0.36010% | 0.36000% |
| 2019-158           | FREDDIE MAC (FHLMC)              | 3134GUD23 | 3,000,000.00   | 3,016,950.00   | 3,000,000.00   | 12/23/19 | 12/23/24 | 12/23/24 | 12/23/24 | 1,827 | 1.78989% | 1.80000% |
| 2020-002           | FREDDIE MAC (FHLMC)              | 3134GUQ94 | 4,000,000.00   | 4,034,200.00   | 4,000,000.00   | 01/10/20 | 01/10/25 | 01/10/25 | 01/10/25 | 1,827 | 1.78474% | 1.80000% |
| 2020-141           | FREDDIE MAC (FHLMC)              | 3134GWKL9 | 2,000,000.00   | 1,980,740.00   | 2,000,000.00   | 08/12/20 | 08/12/25 | 08/12/25 | 08/12/25 | 1,826 | 0.60583% | 0.60000% |
| 2020-161           | FREDDIE MAC (FHLMC)              | 3134GWP75 | 2,000,000.00   | 1,994,060.00   | 2,000,000.00   | 09/23/20 | 09/23/25 | 09/23/25 | 09/23/25 | 1,826 | 0.62686% | 0.62500% |
| 2020-168           | FREDDIE MAC (FHLMC)              | 3134GWWS1 | 2,000,000.00   | 1,989,760.00   | 2,000,000.00   | 09/30/20 | 09/30/25 | 09/30/25 | 09/30/25 | 1,826 | 0.50257% | 0.50000% |
| 2020-179           | FREDDIE MAC (FHLMC)              | 3134GW4Z6 | 4,000,000.00   | 3,980,320.00   | 4,000,000.00   | 10/27/20 | 10/27/25 | 10/27/25 | 10/27/25 | 1,826 | 0.54267% | 0.54000% |
| TOTAL AGENCY NOTES |                                  |           | 144,122,396.35 | 144,112,178.75 | 144,124,800.00 |          |          |          |          |       |          |          |
| 2019-144           | AIR PROD & CHEM (APD)            | 009158AV8 | 2,084,668.88   | 2,185,200.00   | 2,000,000.00   | 12/10/19 | 07/31/24 | 07/31/24 | 07/31/24 | 1,695 | 3.06608% | 3.35000% |
| 20230              | AMERICAN HONDA FINANCE (HND)     | 02665WDL2 | 4,088,798.55   | 4,069,200.00   | 4,000,000.00   | 12/28/20 | 07/08/25 | 07/08/25 | 07/08/25 | 1,653 | 1.17959% | 1.20000% |
| 2020-166/176       | AMERICAN HONDA FINANCE (HND)     | 02665WDM8 | 7,028,503.66   | 7,044,100.00   | 7,000,000.00   | 09/24/20 | 09/10/25 | 09/10/25 | 09/10/25 | 1,812 | 0.99374% | 1.00000% |
| 20220              | APPLE INC. (AAPL)                | 037833DT4 | 2,055,279.15   | 2,044,920.00   | 2,000,000.00   | 12/16/20 | 05/11/25 | 05/11/25 | 05/11/25 | 1,607 | 1.10029% | 1.12500% |
| 20211              | BANK OF AMERICA CORP (BAC)       | 06048WKS8 | 4,000,000.00   | 3,959,360.00   | 4,000,000.00   | 12/18/20 | 12/18/23 | 12/18/23 | 12/18/23 | 1,095 | 0.40411% | 0.40000% |
| 20215              | BANK OF AMERICA CORP (BAC)       | 06048WK41 | 3,996,046.38   | 3,994,680.00   | 3,996,000.00   | 12/10/20 | 11/25/25 | 11/25/25 | 11/25/25 | 1,811 | 0.65087% | 0.65000% |
| 20223              | CATERPILLAR FINL SERVICE (CAT)   | 14912LSX5 | 2,192,806.45   | 2,183,100.00   | 2,000,000.00   | 12/16/20 | 11/24/23 | 11/24/23 | 11/24/23 | 1,073 | 3.43548% | 3.75000% |
| 20229              | CATERPILLAR FINL SERVICE (CAT)   | 14913R2H9 | 4,038,295.38   | 4,006,600.00   | 4,000,000.00   | 12/28/20 | 11/13/25 | 11/13/25 | 11/13/25 | 1,781 | 0.79868% | 0.80000% |
| 2020-080           | CATERPILLAR FINL SERVICE (CAT)   | 14912L6C0 | 2,142,849.07   | 2,183,100.00   | 2,000,000.00   | 05/01/20 | 06/09/24 | 06/09/24 | 06/09/24 | 1,500 | 3.02322% | 3.30000% |
| 2019-148           | CENTERPOINT ENERGY HOUSTON (CNP) | 15189XAP3 | 1,000,000.00   | 1,003,950.00   | 1,000,000.00   | 12/10/19 | 06/01/21 | 06/01/21 | 06/01/21 | 539   | 1.84272% | 1.85000% |
| 2017-002           | CHEVRON CORP (CVX)               | 166764B94 | 999,367.10     | 1,003,810.00   | 1,000,000.00   | 01/09/17 | 05/16/21 | 05/16/21 | 05/16/21 | 1,588 | 2.09203% | 2.10000% |
| 2019-022           | CITIBANK NA                      | 17325FAR9 | 2,002,202.92   | 2,004,160.00   | 2,000,000.00   | 02/15/19 | 07/23/21 | 07/23/21 | 07/23/21 | 889   | 0.78611% | 0.77913% |
| 2020-078           | COCA-COLA CO. (KC)               | 191216CN8 | 3,702,978.29   | 3,754,955.02   | 3,431,000.00   | 05/01/20 | 03/25/25 | 03/25/25 | 03/25/25 | 1,789 | 2.69549% | 2.95000% |
| 2019-143           | ESTEE LAUDER CO. (EL)            | 29736RAN0 | 2,004,313.47   | 2,107,060.00   | 2,000,000.00   | 12/10/19 | 12/01/24 | 12/01/24 | 12/01/24 | 1,818 | 1.89838% | 2.00000% |
| 2020-096           | HONEYWELL INTERNATIONAL (HON)    | 438516CB0 | 2,041,692.02   | 2,059,440.00   | 2,000,000.00   | 06/04/20 | 06/01/25 | 06/01/25 | 06/01/25 | 1,823 | 1.31104% | 1.35000% |
| 20209              | JOHN DEERE CAPITAL CORP (DE)     | 24422EVH9 | 1,008,858.88   | 1,007,970.00   | 1,009,120.00   | 12/04/20 | 07/05/23 | 07/05/23 | 07/05/23 | 943   | 0.69447% | 0.70000% |
| 20225              | JP MORGAN CHASE & CO (JPM)       | 48128GY53 | 2,000,000.00   | 1,997,240.00   | 2,000,000.00   | 12/22/20 | 12/22/25 | 12/22/25 | 12/22/25 | 1,826 | 0.82614% | 0.82500% |
| 2018-151           | JP MORGAN CHASE & CO (JPM)       | 46647PAT3 | 3,998,360.71   | 4,008,880.00   | 4,000,000.00   | 11/23/18 | 06/18/22 | 06/18/22 | 06/18/22 | 1,303 | 0.84451% | 1.53270% |
| 2019-136           | JP MORGAN CHASE & CO (JPM)       | 48128GM49 | 4,000,000.00   | 4,012,480.00   | 4,000,000.00   | 11/19/19 | 11/27/24 | 11/27/24 | 11/27/24 | 1,835 | 2.29285% | 2.30000% |
| 2020-153           | JP MORGAN CHASE & CO (JPM)       | 48128GV98 | 2,000,000.00   | 1,988,820.00   | 2,000,000.00   | 08/28/20 | 08/28/25 | 08/28/25 | 08/28/25 | 1,826 | 0.75422% | 0.75000% |
| 2020-093           | ORACLE CORP (ORCL)               | 68389XBT1 | 2,122,067.22   | 2,139,000.00   | 2,000,000.00   | 06/01/20 | 04/01/25 | 04/01/25 | 04/01/25 | 1,765 | 2.33754% | 2.50000% |



**SUTTER COUNTY  
INVESTMENT PORTFOLIO  
January 31, 2021**



| TREASURY                      |  | INSTITUTION/BRANCH          | CUSIP     | BOOK VALUE            | MARKET VALUE          | PAR VALUE             | DATE INVESTED | DATE MATURES | TOTAL DAYS | YIELD    | RATE     |
|-------------------------------|--|-----------------------------|-----------|-----------------------|-----------------------|-----------------------|---------------|--------------|------------|----------|----------|
| 2019-123                      |  | SIMON PROP GP LP (SPG)      | 828807CR6 | 4,192,163.30          | 4,332,440.00          | 4,000,000.00          | 11/01/19      | 02/01/24     | 1,553      | 3.46225% | 3.75000% |
| 2017-086                      |  | TOYOTA MOTOR CREDIT CORP    | 89236TEA9 | 2,000,000.00          | 1,990,440.00          | 2,000,000.00          | 08/03/17      | 06/26/22     | 1,788      | 0.50341% | 0.46788% |
| 2018-068                      |  | TOYOTA MOTOR CREDIT CORP    | 89236TEX9 | 1,000,000.00          | 999,800.00            | 1,000,000.00          | 05/22/18      | 04/26/21     | 1,070      | 0.41533% | 0.41475% |
| 2020-079                      |  | TOYOTA MOTOR CREDIT CORP    | 89236TDK8 | 2,048,184.74          | 2,097,180.00          | 2,000,000.00          | 05/01/20      | 10/18/23     | 1,265      | 2.14574% | 2.25000% |
| 2019-122                      |  | UNITED PARCEL-SERVIVE (UPS) | 911312BT2 | 4,017,876.78          | 4,231,080.00          | 4,000,000.00          | 11/01/19      | 09/01/24     | 1,766      | 2.07985% | 2.20000% |
| 2019-150                      |  | WALT DISNEY COMPANY (DIS)   | 254687FK7 | 1,985,377.41          | 2,081,440.00          | 2,000,000.00          | 12/10/19      | 08/30/24     | 1,725      | 1.68153% | 1.75000% |
| 2016-078                      |  | WELLS FARGO & COMPANY (WFC) | 94986RN31 | 2,000,000.00          | 2,000,700.00          | 2,000,000.00          | 06/07/16      | 06/07/21     | 1,826      | 1.22495% | 1.99888% |
| 2017-071                      |  | WELLS FARGO & COMPANY (WFC) | 95000N2L2 | 2,000,000.00          | 1,992,840.00          | 2,000,000.00          | 06/27/17      | 06/27/22     | 1,826      | 0.95442% | 0.93325% |
| 2019-025                      |  | WELLS FARGO & COMPANY (WFC) | 949746SP7 | 4,012,690.83          | 4,000,840.00          | 4,000,000.00          | 03/04/19      | 02/11/22     | 1,075      | 1.14339% | 1.18250% |
| 2020-074                      |  | WELLS FARGO & COMPANY (WFC) | 95001D6U9 | 1,000,000.00          | 1,005,990.00          | 1,000,000.00          | 04/30/20      | 04/30/23     | 1,095      | 2.13720% | 2.15000% |
| 2020-075                      |  | WELLS FARGO & COMPANY (WFC) | 95001D6W5 | 2,000,000.00          | 2,018,880.00          | 2,000,000.00          | 04/30/20      | 04/30/25     | 1,826      | 2.47662% | 2.50000% |
|                               |  |                             |           | 84,763,381.19         | 85,509,655.02         | 83,436,120.00         | AVERAGE       |              | 1,533      | 1.03766% | 1.08299% |
| TOTAL MEDIUM TERM NOTES       |  |                             |           | <u>300,865,149.86</u> | <u>301,601,206.09</u> | <u>299,540,292.32</u> |               |              |            |          |          |
| TOTAL INVESTED POOL PORTFOLIO |  |                             |           |                       |                       |                       |               |              |            |          |          |

Transactions  
For the Month ended

January 31, 2021

| Treasury Number      | CUSIP CONF# | Settlement Date | Broker | Asset | Rate / COUPON | Purchase at Cost     | Sale / Call         | Maturities | Coupon Received  |
|----------------------|-------------|-----------------|--------|-------|---------------|----------------------|---------------------|------------|------------------|
| <b>MANAGED FUNDS</b> |             |                 |        |       |               |                      |                     |            |                  |
| 21001                | 1623063     | 1/4/2021        | LAIF   | LAIF  | 0.5760%       |                      | 3,000,000.00        |            |                  |
| 21002                | STMT        | 1/4/2021        | CAMP   | CAMP  | 0.1200%       | 247.38               |                     |            |                  |
| 21003                | 1623562     | 1/13/2021       | LAIF   | LAIF  | 0.5400%       | 3,000,000.00         |                     |            |                  |
| 21004                | 1626454     | 1/21/2021       | LAIF   | LAIF  | 0.5400%       | 5,000,000.00         |                     |            |                  |
| 21005                | STMT        | 1/15/2021       | LAIF   | LAIF  | 0.6300%       | 78,446.77            |                     |            | 78,446.77        |
| 21006                | CEM STMT    | 1/15/2021       | LAIF   | LAIF  | 0.6300%       | 5,262.00             |                     |            | 5,262.00         |
| 21007                | 1626872     | 1/28/2021       | LAIF   | LAIF  | 0.5400%       | 12,000,000.00        |                     |            |                  |
|                      |             |                 |        |       |               | <u>20,083,956.15</u> | <u>3,000,000.00</u> |            | <u>83,708.77</u> |

**PURCHASES/SALES/CALLS/MATURITIES**

|          |           |           |      |                               |         |             |                      |             |                  |
|----------|-----------|-----------|------|-------------------------------|---------|-------------|----------------------|-------------|------------------|
| 2020-130 | 3136G4ZA4 | 1/15/2021 | CALL | FANNIE MAE (FNMA)             | 0.6000% |             | 3,996,174.05         |             | 15,825.95        |
| 2020-126 | 3134GWAR7 | 1/21/2021 | CALL | FEDERAL HOME LOAN BANK (FHLB) | 0.7500% |             | 4,000,000.00         |             | 29,000.00        |
| 2020-127 | 3134GWDK9 | 1/29/2021 | CALL | FREDDIE MAC (FHLMC)           | 0.7200% |             | 4,000,000.00         |             | 14,400.00        |
|          |           |           |      |                               |         | <u>0.00</u> | <u>11,996,174.05</u> | <u>0.00</u> | <u>59,225.95</u> |

**COUPONS**

|          |           |           |  |                                    |         |  |  |  |                   |
|----------|-----------|-----------|--|------------------------------------|---------|--|--|--|-------------------|
| 20209    | 2442EVH9  | 1/5/2021  |  | JOHN DEERE CAP CORP                | 0.7000% |  |  |  | 4,102.78          |
| 20230    | 02665WDL2 | 1/8/2021  |  | AMERICAN HONDA FINANCE CORP        | 1.2000% |  |  |  | 24,000.00         |
| 2020-110 | 3130AJSF7 | 1/8/2021  |  | FEDERAL HOME LOAN BANK (FHLB)      | 0.6500% |  |  |  | 3,250.00          |
| 2020-001 | 3134GUQ94 | 1/11/2021 |  | FEDERAL HOME LOAN BANK (FHLB)      | 1.8000% |  |  |  | 36,000.00         |
| 2020-125 | 3133ELX25 | 1/22/2021 |  | FEDERAL FARM CREDIT BANK (FFCB)    | 0.4700% |  |  |  | 18,800.00         |
| 2019-022 | 17325FAR9 | 1/25/2021 |  | CITBANK NA                         | 0.8138% |  |  |  | 4,068.79          |
| 2018-068 | 89236TEX9 | 1/26/2021 |  | TOYOTA MOTOR CREDIT CORP           | 0.4148% |  |  |  | 1,036.88          |
| 2020-185 | 3136G46K  | 1/28/2021 |  | FANNIE MAE (FNMA)                  | 0.5000% |  |  |  | 5,000.00          |
| 2020-136 | 3136G4D75 | 1/29/2021 |  | FREDDIE MAC (FHLMC)                | 0.6000% |  |  |  | 12,000.00         |
|          |           |           |  | Total coupons from bonds           |         |  |  |  | <u>108,258.45</u> |
|          |           |           |  | Total coupons received this period |         |  |  |  | <u>251,193.17</u> |

|                          |                      |                      |             |
|--------------------------|----------------------|----------------------|-------------|
| Total portfolio activity | <u>20,083,956.15</u> | <u>14,996,174.05</u> | <u>0.00</u> |
|--------------------------|----------------------|----------------------|-------------|

**Reconciliation**

|                              |                   |                       |
|------------------------------|-------------------|-----------------------|
| Total Change due to activity |                   | 5,087,782.10          |
| Portfolio balance            | December 31, 2020 | <u>295,777,367.76</u> |
| Total Pool Portfolio         | January 31, 2021  | <u>300,865,149.86</u> |